## DT01 Rec'd PCT/PTC 12 OCT 2004

| U.S. APPLICATION TO (if known, see 37-CFR 1-5) 5 DITERNATIONAL APPLICATION NO. PCT/DK03/00237   |  |                  |  |                                 |                           |   |              |                    | ATTORNEY'S DOCKET NUMBER |                       |               |      |
|---|--|------------------|--|---------------------------------|---------------------------|---|--------------|--------------------|--------------------------|-----------------------|---------------|------|
|   |  |                  | 1.0 2                                    | JAAJ (                          | D 0                       | PCT/DK03/002  | 237          |                    |                          | GRI                   | P-0085        |      |
| 24.   |  |                  | lowing fees are sul                      |                                 |                           |   |              |                    | CAI                      | CULATIONS             | PTO USE       | ONLY |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| ⊠   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
|   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
|   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
|   | ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)  |                  |  |                                 |                           |   |              |                    | _                        |                       |               |      |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |  |                  |  |                                 |                           |   |              |                    | \$930.00                 |                       |               |      |
| month:  | s from th  | 130.0<br>ne earl | 0 for furnishing the liest claimed prior | ity date (37 CI                 | FR 1.492(e                | e))   | 20           | □ 30               |                          | \$0.00                |               |      |
|   | AIMS   |                  | NUMBER                                   |                                 | NUN                       | MBER EXTRA  | <del> </del> | RATE               |                          | 6270.00               |               |      |
| Total c   |  |                  | 35                                       | - 20 =                          |                           | 0   | x            | \$18.00<br>\$86.00 |                          | \$270.00<br>\$0.00    |               |      |
|   | ndent cl   | _                | <u>2</u>                                 | - 3 =                           | L                         |   | +^-          | 580.00             |                          | \$0.00                |               |      |
| Multip  | le Depe  | naent            | Claims (check if :                       |                                 | AROV                      | E CALCULAT  | LIO          |                    |                          | \$1,200.00            |               |      |
| ⊠ A   | TOTAL OF ABOVE CARCULATIONS  |                  |  |                                 |                           |   |              |                    |                          | \$600.00              |               |      |
|   |  |                  |  |                                 |                           | SUB   | TO           | ΓAL =              |                          | \$600.00              |               |      |
| Proces<br>months  | Processing fee of \$130.00 for furnishing the English translation later than   months from the earliest claimed priority date (37 CFR 1.492(f)).   |                  |  |                                 |                           |   |              |                    |                          | \$0.00                |               |      |
|   |  |                  | · · · · · · · · · · · · · · · · · · ·    |                                 | TOTA                      | AL NATIONA  | L FI         | EE =               |                          | \$600.00              |               |      |
| Fee for   | recordi<br>panied b  | ng the           | enclosed assignn                         | nent (37 CFR 1<br>sheet (37 CFR | .21(h)). T<br>3.28, 3.31) | he assignment must of the control (check if applicable) | be<br>le).   |                    |                          | \$0.00                |               |      |
|   |  |                  |  |                                 | TOTA                      | L FEES ENCL   | LOS          | <b>ED</b> =        |                          | \$600.00              |               |      |
|   |  |                  |  |                                 |                           |   |              |                    |                          | unt to be:<br>efunded | \$            |      |
|   |  |                  |  |                                 |                           |   |              |                    |                          | charged               | \$            |      |
| a.  | XI   |                  | eck in the amount                        |                                 |                           | o cover the above fee                                   |              |                    |                          | to cover th           | ne above fees | s    |
| 0.  | b. Please charge my Deposit Account No in the amount of to cover the above fees.   |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| c.  | c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1130   |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| d.  | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                       |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| SEND ALL CORRESPONDENCE TO:   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| Daniel F. Drayler   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| Registration No. 47,535   |  |                  |  |                                 |                           |   |              |                    |                          | - 1                   |               |      |
| CANTOR COLBURN LLP Daniel F. Dre  |  |                  |  |                                 |                           |   |              |                    | exler                    |                       |               |      |
| 55 Griffin Road South Bloomfield, CT 06002 NAME   |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| Telephone: 860-286-2929 47,535  |  |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
| REGISTRATION  |  |                  |  |                                 |                           |   | ON NUMBER    |                    |                          |                       |               |      |
|   | October 12, 2  |                  |  |                                 |                           |   |              | 2004               |                          |                       |               |      |
|   | DATE   |                  |  |                                 |                           |   |              |                    |                          |                       |               |      |
|   |  |                  |  |                                 |                           |   |              | -                  |                          |                       |               |      |

| FORM F  | PTO-13 <del>5</del> | (Modified) U.S. PATENT AND TRADEM   | ATTORNEY'S DOCKET NUMBER  |                                     |  |  |  |  |  |  |
|---|---------------------|---|---|-------------------------------------|--|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES  GRP-0085   |                     |   |   |                                     |  |  |  |  |  |  |
| DESIGNATED/ELECTED OFFICE (DO/EO/US)  U.S. APPLICATION NO. (If known, see 37 CFR 1.5)   |                     |   |   |                                     |  |  |  |  |  |  |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |                     |   |   |                                     |  |  |  |  |  |  |
| INTER   | RNATI               | IONAL APPLICATION NO. PCT/DK03/00237  | INTERNATIONAL FILING DATE 9 April 2003  | PRIORITY DATE CLAIMED  9 April 2002 |  |  |  |  |  |  |
| TITLE OF INVENTION  |                     |   |   |                                     |  |  |  |  |  |  |
| LIGHT MODULATING ENGINE   |                     |   |   |                                     |  |  |  |  |  |  |
|   |                     |   |   |                                     |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |                     |   |   |                                     |  |  |  |  |  |  |
| HENRIK GLENT-MADSEN   |                     |   |   |                                     |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |                     |   |   |                                     |  |  |  |  |  |  |
|   | _                   |   |   |                                     |  |  |  |  |  |  |
| 1.  | $\boxtimes$         |   | tems concerning a submission under 35 U.S.C. UENT submission of items concerning a subm |                                     |  |  |  |  |  |  |
| 2.<br>3.  | ₩                   | _   | _   |                                     |  |  |  |  |  |  |
| J.  | -                   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.     |   |                                     |  |  |  |  |  |  |
| 4.  |                     | The US has been elected (Article 31).   |   |                                     |  |  |  |  |  |  |
| 5.  | $\boxtimes$         | A copy of the International Application as filed (35 U.S.C. 371 (c) (2))  |   |                                     |  |  |  |  |  |  |
|   |                     | a is attached hereto (required only if not communicated by the International Bureau).   |   |                                     |  |  |  |  |  |  |
|   |                     | b. has been communicated by the International Bureau.   |   |                                     |  |  |  |  |  |  |
|   | _                   | c.  is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |                                     |  |  |  |  |  |  |
| 6.  |                     | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |                                     |  |  |  |  |  |  |
|   |                     | a.  is attached hereto.   |   |                                     |  |  |  |  |  |  |
| _   |                     | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |                                     |  |  |  |  |  |  |
| 7.  |                     | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))   |   |                                     |  |  |  |  |  |  |
|   |                     | a. are altached hereto (required only if not communicated by the International Bureau).   |   |                                     |  |  |  |  |  |  |
|   |                     | b. have been communicated by the International Bureau.  |   |                                     |  |  |  |  |  |  |
| 1   |                     | <ul> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul> |   |                                     |  |  |  |  |  |  |
| 8.  |                     | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |                                     |  |  |  |  |  |  |
| 9.  | $\boxtimes$         | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |                                     |  |  |  |  |  |  |
| 10.   |                     | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                       |   |                                     |  |  |  |  |  |  |
| 11.   |                     | A copy of the International Preliminary Examination Report (PCT/IPEA/409).  |   |                                     |  |  |  |  |  |  |
| 12.   | $\boxtimes$         | A copy of the International Search Report (PCT/ISA/210).  |   |                                     |  |  |  |  |  |  |
| Items 13 to 23 below concern document(s) or information included:   |                     |   |   |                                     |  |  |  |  |  |  |
| 13.   | $\boxtimes$         | An Information Disclosure State   | ement under 37 CFR 1.97 and 1.98.   | ,                                   |  |  |  |  |  |  |
| 14.   | $\boxtimes$         | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |   |                                     |  |  |  |  |  |  |
| 15.   | $\boxtimes$         | A FIRST preliminary amendment.  |   |                                     |  |  |  |  |  |  |
| 16.   |                     | A SECOND or SUBSEQUENT preliminary amendment.   |   |                                     |  |  |  |  |  |  |
| 17.   |                     | A substitute specification.   |   |                                     |  |  |  |  |  |  |
| 18.   |                     | A power of attorney and/or change of address letter.  |   |                                     |  |  |  |  |  |  |
| 19.   |                     | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.  |   |                                     |  |  |  |  |  |  |
| 20.   |                     | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |                                     |  |  |  |  |  |  |
| 21.<br>22.  |                     | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  Express Mail Label No. <u>EV543402725US</u>            |   |                                     |  |  |  |  |  |  |
| 22.<br>23.  |                     | Other items or information:   |   |                                     |  |  |  |  |  |  |
| Priority Sheet  |                     |   |   |                                     |  |  |  |  |  |  |
|   |                     |   |   |                                     |  |  |  |  |  |  |
|   |                     |   | · \   |                                     |  |  |  |  |  |  |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** Applicant(s): HENRIK GLENT-MADSEN Group Art Unit Customer No. Application No. Filing Date Examiner 23413 LIGHT MODULATING ENGINE Invention: I hereby certify that the following correspondence: Patent Application under 35 U.S.C. 371; Declaration/Power of Atty.; Recordation; Assignment IDS; Priority Sheet (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 12, 2004 (Date) Jennifer Lepage (Typed or Printed Name of Person Mailing Correspondence) EV543402725US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.